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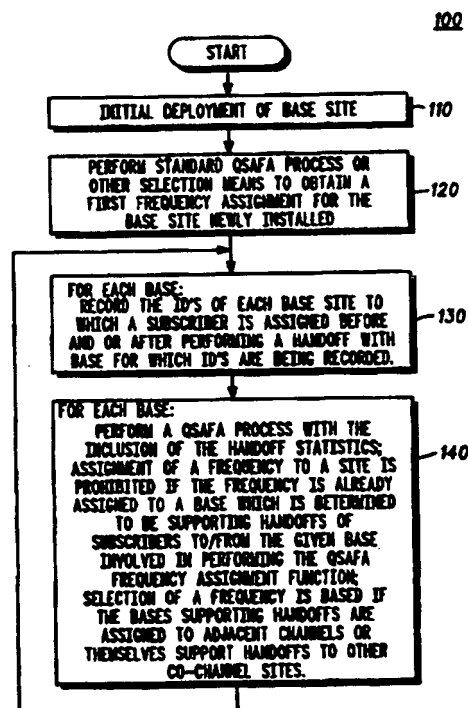
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: METHOD AND APPARATUS FOR FREQUENCY ASSIGNMENT FOR A COMMUNICATION UNIT

## (57) Abstract

A method for improved frequency assignment includes recording handoff statistics for subscriber units handing into and out of a base station (130). The handoff statistics include the IDs of the other base stations (240) involved in the handoff, along with the date and time of the handoff. The statistics of the handoff count are applied based on the number of occurrences within a time period (450), and are used to accept or reject the potential frequency assignments obtained from an assignment algorithm. In one embodiment of this algorithm, using a Q estimate, obtained from residual powers recorded on each frequency (435), a candidate frequency is chosen based on the lowest Q value. This candidate is tested against the handoff statistics, and if rejected, a frequency with the next lowest Q level is tested. This process is repeated until a frequency is assigned.



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# Method and Apparatus for Frequency Assignment for a Communication Unit

## Field of the Invention

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The present invention relates, in general, to wireless communication systems and, more particularly, to a method and apparatus for selecting an operating frequency of a base station of a wireless communication system.

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## Background of the Invention

In a wireless communication system which makes use of small, low power base stations mounted generally below the local building clutter, the coverage area is limited due to the physical propagation environment. Thus to cover a wide area, potentially thousands of small base stations are required, each providing coverage to an area whose size and shape is influenced by the locations of buildings, trees, foliage, terrain, and various other environmental components (for example, see coverage area 720 of FIG. 7.) A secondary requirement for such a communication system is for the frequency assigned to each base station have sufficient reuse distance from another base using the same frequency such that the signal level from one of these co-channel base stations is below a predefined maximum level in the coverage area of the other, since the signal will interfere with the reception of the desired signal by a subscriber in the coverage area. The ratio of the desired signal power received at a receiver, to the sum of the powers from all the co-channel users is called the co-channel carrier to interference (C/I) ratio and is normally expressed in decibels (dB).

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Typically this C/I ratio is set to around 17-20 dB for analog FM and for some digital modulations, and this number is used as a minimum required level over 90% of the coverage area of a base station for a cellular radio system.

To obtain this type of interference protection, a frequency repeat (or reuse) pattern is established such that the co-channel frequencies are assigned to sites that are spaced apart with several sites in between. For example, based on a statistical model of a small cell radio system, to obtain a 17-20dB minimum C/I over 90% of the cell area, a 16 cell co-channel reuse pattern (such as illustrated by cell plan 500 of FIG. 5) is required, assuming various channel properties and assumptions relating to the environment type. This means that in a group of 16 adjacent cells, a given frequency is used only one time. This is conventionally represented by a hexagonal cellular pattern in which there are three cells in between each co-channel site on each sixty degree axis from the given site. In practice, for very large cells that are on tall towers, these types of patterns work relatively well. For small cell systems however, where there could be thousands of sites, and where the coverage is not as well defined, due to the propagation effects between buildings, and due to foliage and trees, the repeat pattern becomes difficult to determine, and the size and shapes of the cells become quite irregular.

Due to the difficulty in laying out such a system of cells, and providing for the required interference protection from the co-channel signals from other cells, an automated approach has been proposed called Quasi Static Autonomous Frequency Assignment (QSAFA). In the QSAFA approach, each base station takes itself out of service at a pseudo random time within a predetermined

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5 maintenance window, typically early in the morning when  
the cell is not being used. When the base is removed  
from service, it switches its receiver from the normal  
reverse link (subscriber to base) frequency band to the  
10 forward link (base to subscriber) frequency band, and  
scans all the possible base transmit frequencies and  
makes and stores power measurements in memory. Thus,  
the base which is performing QSAFA is measuring the  
power of signals received from other bases. Once the  
15 power measurements are made and recorded in computer  
memory, the signal with the smallest power level is  
selected, and the base transmit frequency that is  
represented by that selection is now used by the  
measuring base station until the next QSAFA cycle is  
20 initiated. Each base station follows the same process  
and selects its own frequency assignment when its QSAFA  
time is indicated. The results from this type of  
algorithm vary and the repeat pattern is generally not  
uniform (as illustrated by pattern 600 in FIG. 6).

25 While the QSAFA system may work well in most cases,  
there are a number of special problems that could cause  
the decision made by the proposed QSAFA algorithm to be  
in error, and this could place a few cells whose QSAFA  
decision was poor in a nearly in-operable situation.

30 These problems arise mainly as a result of measurements  
of the power received from other base stations to  
represent the "design rules" for separating the base  
stations. When the signal is faded due to multi-path  
propagation for example, the signal received at the base  
performing the QSAFA measurements could be in error by  
35 up to 20dB. For example, if the channel is assumed to  
exhibit Rayleigh fading, and a selection diversity  
using two independent branches is used at the base  
station, a fade of 10dB would occur 1% of the time, on  
average, and a 20dB fade would occur .01% of the time.

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This may not sound that significant for normal radio channels, but for QSAFA measurements a 10dB error in estimating the signal power received from a nearby base makes that base "appear" to be 10dB further away, making an assignment to that base's frequency possible. This would be a bad decision since any subscriber unit using this base's frequency will not be protected the 10dB error (they are not subject to the same propagation, shadowing, etc.), and their interference level will suffer.

A second problem, which could give rise to more than 10-20 dB of degradation in the measurement is the case of two base sites that are placed on opposite sides of a building (See FIG. 8). Since the diffraction paths around the building represent many dB of attenuation, perhaps 40dB or more, there may be up to a 40dB mistake in reading the power from each base during the QSAFA measurement process. Although other nearby bases may not be "shadowed" or "blocked" from each other to this extent, the shadowing of these two bases could easily cause them to choose the same frequency of operation since they are essentially "blind" to each others presence.

Finally, a third problem of the conventional QSAFA approach is shown by the case when two units randomly perform a QSAFA measurement at the same time. When two bases do so, they will not "see" each other (since neither is transmitting), and may end up choosing the same frequency for operation.

Each of the potential problems listed here could produce the undesired result of a base station choosing a frequency of a nearby base, and in most cases, since the paths are reciprocal, each base will likely come to the same determination. The worst case scenario, possible under conventional QSAFA, is for a base station

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located adjacent to a second base station to choose a common frequency assignment. In this case, the C/I ratio could approach 0dB in much of the cell, even though one or both base stations would have chosen what they believe to be the best assignment according to the QSAFA algorithm. The present invention solves these and other problems by improving the decision process, and in particular avoids the worst of having the co-channel assignments made to adjacent cells.

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#### Brief Description of the Drawings

- FIG. 1 is a flow chart illustrating an overview of a first embodiment process according to the invention;
- 15 FIG. 2 is a flow chart illustrating an ID recording process of the embodiment of FIG. 1;
- FIG. 3 is a flow chart illustrating a prior art QSAFA process;
- FIG. 4 is a further flow chart illustrating the embodiment of FIG. 1;
- 20 FIG. 5 is a prior art diagram illustrating a typical cellular layout in which a given frequency is re-used every 16 sites;
- FIG. 6 is a prior art diagram illustrating one possible arrangement of co-channel sites after a QSAFA algorithm has been used to assign the frequencies;
- 25 FIG. 7 is a prior art diagram illustrating a possible coverage area of a transmitter compared to an idealized hexagonal coverage area.
- FIG. 8 is a diagram illustrating a potential problem with the prior art caused by the propagation effects around the sides of a building.
- 30 FIG. 9 is a block diagram of a second embodiment according to the invention, a hardware implementation having a common database shared between base sites.
- 35

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## Detailed Description of the Drawings

5           These problems are solved by an improved method according to the invention. A presently preferred embodiment of the invention is the method including: first applying the QSAFA algorithm to an initial  
10 deployment of base stations to obtain a first frequency assignment for each base. Then during normal operation each base station, including a first base station, generates and updates a table for each subscriber handoff in which a subscriber is transferred to or from the first base station. Once this list is generated,  
15 having a minimum number of entries for each base, the list is used to identify the nearby base stations which are valid handoff candidates. Based on this determination, the first base station assigned any of the frequencies by the QSAFA algorithm that are  
20 associated with these nearby base stations. In addition a modification may be made to the measured values of the residual power for each measured frequency that is measured prior to the completion of the frequency assignment algorithm. This modification biases the  
25 measured power to scale the output by a predetermined amount when the table of valid handoff candidates includes adjacent channel frequencies to the frequency being tested. A second bias of a predetermined amount may be added when the table of handoff candidates  
30 includes base sites which include co-channel frequencies in their list of valid handoff candidates. Thus, the use of the derived list of nearby handoff candidate base stations is used to prevent a frequency assignment that might otherwise be selected due to one of several known  
35 deficiencies with the QSAFA algorithm.



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Turning then to FIG. 1, a block diagram of a flow chart illustrating a first process, generally designated 100, embodying the present invention is shown. Process 100 starts at block 110 when a base station site is  
5 deployed into a cellular radio system.

At the time the radio transmitter or base station is deployed, its frequency assignment must be assigned for the first time. This assignment is indicated in block 120 by preferable performing a QSAFA algorithm,  
10 more completely described in FIG 3. If desired, this initial frequency assignment could be chosen manually by an operator, or by the use of another frequency selection algorithm without affecting the invention presented herein. Block 120 functions only to have an  
15 initial assignment from which to begin service, and facilitate further measurements from which an improved frequency assignment decision can be obtained according to the invention.

In block 130, each base records (1) the  
20 identifications (IDs) of other base stations which are involved in supplying service to a subscriber unit before transferring service to said base or (2) the IDs of other base stations which are involved in supplying service to a subscriber unit after service is  
25 transferred from said base to another base. Each time a subscriber unit is handed into or out of service at the said base, the ID of the other base is recorded. This recorded information preferable includes a time of occurrence, which could include a date. The processing  
30 of block 130 is further described in FIG 2.

At the time the base station is required to perform a frequency assignment processing algorithm, as illustrated in block 140, an improvement to the standard QSAFA algorithm is implemented. This block is further  
35 described in FIG 4.

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Referring to FIG 2, a flow chart is shown describing a recording process, generally designated 200, at a base station according to the invention. The process begins at block 210, which tests to see if a subscriber is being assigned to the base. If this is true, a further test is made, 230, to see if the subscriber is making a new call, or if a handoff is being made to the base from another cell. If it is a new call, the process is continued in block 250, which returns the recording process back to the start without recording any data. If the call was handed into the base as determined in block 230, then block 240 is processed. If the decision in block 210 did not identify a subscriber being assigned to this base, a second test, block 220, is performed to test if a subscriber is leaving this base to be served by another base. If so, the process is transferred to block 240, otherwise it returns to the beginning. Block 240 records the ID of the base station which supplies service to the subscriber unit prior to its handoff to this base if the subscriber was handed to this base, or it records the ID of the base station which supplies service to the subscriber unit after it leaves this base by handing off to the new base. The ID recorded in this block is stored in memory in block 260 along with the time and date of the occurrence. When block 260 is complete, the process is transferred to the beginning.

Referring to FIG 3, a flow chart is shown illustrating a Prior Art process, generally designated 300, describing a conventional Quasi Static Autonomous Frequency Assignment (QSAFA) algorithm. This algorithm is performed at each base station, at a time each base chooses. Typically this is at night when there is little or no usage so as to avoid service disruptions. The process begins at decision block 310, when the

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decision to begin the QSAFA algorithm is made. When activated, in block 320 the base transmission is turned off. The receiver then scans all available (i.e. assignable) frequencies in block 330 to measure the power on each of the pre-assigned base transmit frequencies. This measured power is called a residual power level since it is the composite of all the signals from as many other bases in various locations transmitting at the frequency being measured. Once the measurements are complete, block 340 selects the frequency with the lowest power reading of all the measured frequencies. This represents the channel with the lowest received power at the base antenna of the site performing the QSAFA algorithm. The frequency with the lowest power is then assigned to this base station, block 380, and the base adjusts its transmitter frequency to this frequency assignment and turns on its carrier, returning to normal service. This completes the QSAFA algorithm.

In FIG. 4, a further flow chart illustrating an embodiment of the improved process according to the present invention is shown, generally designated 400. Decision block 410 starts the process when the time for the frequency assignment process has arrived. The time to begin the process is preferably predetermined within a given time window, and the actual time is selected based on traffic loading and a random timer to help randomize the performance of the improved QSAFA algorithm. Once the process begins, 420, the Base station is removed from service, and its carrier is turned off. The receiver then scans the available frequencies in block 430 to measure the power on each of the pre-assigned base transmit frequencies. This measured power is called a residual power level since it is the composite of all the signals from many other

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sites in various locations at the frequency being measured.

Once the measurements are complete, block 435 generates a calculated  $Q(f)$  (frequency quality parameter) for each frequency. This  $Q(f)$  function is represented by the equation  $Q(f) = N(n) * A + M(n) * S +$  residual power. In this equation,  $N(n)$  and  $M(n)$  are predetermined constants defined for each value of  $n$ , where  $n=0,1,2,3...$  with  $n$  generally less than 10.  $N(n)$  represents a predefined scaling term that is a function of the number of occurrences of the detection of an adjacent channel frequency assigned to the base station IDs which had a number of handoffs greater than a predetermined value  $H$ , within a predetermined time interval,  $T$ . The term  $A$  represents a fixed constant, predefined so as to appropriately weight the  $N(n)$  term for the decision. Thus the term  $N(n) * A$  will bias the function  $Q(f)$  based on the detection of adjacent channel sites for frequency  $f$ , which are being used to supply handoffs to or from the site processing a frequency assignment decision. The term  $M(n)$  represents a predefined scaling term that is a function of the number of occurrences of the detection of a site which supports handoffs with the said base site which had a number of handoffs greater than a predetermined value  $H$ , within a predetermined time interval  $T$ , and which supports handoffs with another base site which had a number of handoffs greater than a predetermined value  $H$ , within a predetermined time interval  $T$ , and which is also assigned to the frequency  $f$  (i.e. a co-channel). The term  $S$  represents a fixed predefined constant, similar to that of  $A$ . Thus the term  $M(n) * S$  will bias the function  $Q(f)$  based on the detection of sites involved in handoffs with the said base and other bases using the same frequency. Therefore, by adjusting the scaling

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constant A, the algorithm can be adjusted to reject or ignore the effects of the adjacent channel assignment of the frequency. By adjusting the scaling constant S, the algorithm can be adjusted to reject or ignore the effects of the mutual co-channel assignment of the frequency. To ignore these effects, the terms A or S can be set to zero. To reject all cases of these events, the terms A or S could be set to a very large number. In this latter case, even a single occurrence will produce a huge offset and the  $Q(f)$  function will become very large, thereby removing the frequency from consideration by placing it close to the bottom of the list of possible frequencies. A value for A or S between zero and the very large number will cause a shift in the resulting  $Q(f)$  function which will cause other frequencies with better  $Q(f)$  functions to be considered first.

After the  $Q(f)$  function is calculated, block 440 selects the lowest  $Q(f)$  (i.e.,  $Q(f)$  is an inverse channel assignment quality factor) reading for testing. This represents the channel with the lowest received power at the base antenna of the site performing the QSAFA algorithm. In decision block 450, the channel with the lowest residual power level is tested to see if it is the same frequency as used by base sites with which there are a significant number of handoffs within a recent time period. This is done by accessing the base station IDs which had a number of handoffs greater than a predetermined threshold value H, within a time interval defined by a threshold value T. The time interval represents the most recent samples stored in time. Although the threshold values H and T are described here as constants, these values could also be functions of other variables (such as the traffic density in the cell and its variability based on day of

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week, or the time since new cells have been added to the system, or the frequency of updating the frequency plan of each cell, or other statistical parameters collected by the cells representing interference, dropped calls, completed calls, or degraded calls), and such a modification is within the scope of the invention, and may be implemented by a skilled artisan. If decision block 450 does not pass the frequency selection with the lowest residual power, this frequency is withdrawn, 460, and the frequency with the next lowest  $Q(f)$  is selected, 470, for testing in decision block 450. When decision block 450 identifies a frequency with a low residual power level which is not used for handoffs by subscriber units to any significant level such as defined by the thresholds, the process in block 480 is activated. This block assigns the selected frequency to the base station and turns on the carrier. Block 490 then updates a common database which maps the site IDs of the various bases to the frequency assignments that they currently have. Thus the data obtained from other sites recording handoff statistics will be up to date for use in their own frequency assignment decisions.

FIG. 5 is a prior art diagram, generally designated by 500. This diagram shows a representation of a cellular site layout using a hexagonal grid. The frequency  $f_0$  is shown in a 16 cell reuse pattern. This can be seen by the spacing between each site with the  $f_0$  designation having three other sites between them.

FIG. 6 is a prior art diagram, generally designated by 600. This diagram shows a non-uniform cell reuse pattern which represents one possible assignment generated by a conventional QSAFA algorithm being performed at each base station. The non-uniform pattern is due to environmental effects on the signal propagation between the various sites. Since there will

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be various levels of attenuation due to foliage, buildings, multipath propagation, and diffraction, the signals will have different attenuations along every path. Thus by making the best decision possible, the QSAFA algorithm will still not be able to lay out a cellular system reuse pattern as well as other methods. In some cases, the repeat of the frequency designated as  $f_0$  could be in an adjacent cell, which would produce outages in both adjacent co-channel sites.

FIG. 7 is a prior art diagram, generally designated by 700. In this diagram, a hexagonal cell is represented by 730. This is an ideal model of a cell, but is not well suited for modeling small microcells, particularly when the antenna is below the building or foliage clutter. The actual coverage, depicted by 720, is actually much different. This illustrates the effect of the variations in the path and the effects of buildings and foliage on the signal.

FIG. 8 is a prior art diagram, generally designated by 800. In this diagram, two different base stations, 810 and 820, are mounted on the same building, 830 with no other buildings nearby. This hypothetical situation illustrates how two base stations could choose the same frequency since the path between these two base stations is impacted by two diffraction corners, 840 and 850. This will attenuate the signal from 40 to 60 dB, making the path between bases 810 and 820 to appear much further apart. However, a subscriber unit that is near either end of the building will see nearly equal signal strengths from both base stations.

FIG. 9 depicts a hardware layout of a communications system according to an embodiment of the invention, generally designated as 900. The system illustrated is part of a PCS (personal communications system) infrastructure, but is equally applicable to

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other wireless communications systems including cellular radiotelephony and wireless LAN (local area network). The system includes plural communication units, or base stations or radio ports (RPs), 931 through 937, operating in plural base sites 921 - 927, respectively. Each RP 931 - 937 is coupled to a base station controller unit 950 (an RPCU, or radio port controller unit for a PCS system). Each of the RPs 931-937 includes a transceiver (e.g., 938 of RP 931); as will be appreciated, only the receiver portion of transceiver 938 will be active since the transmitter is not on during QSAFA measurements. Rather, transceiver 931 will be scanning and measuring a signal quality measure (e.g., power or RSSI) of each of the set of frequencies available, a subset of which will be transmitted by RPs 932-937 (shown as signals 942-947) while RP 931 is scanning. The power measurements are then forwarded to RPCU 950 for further processing in processor 952, in accordance with the algorithms described above in connection with FIGS. 1-4. In particular, the channel assignment quality factor (e.g., the inverse of  $Q(f)$ ) is determined by function 955 of processor 952 based on previously stored records of the prior communications events (e.g., handoffs between RPs 931-937) in memory 960 within the set window period of time. The frequency with the greatest signal quality measure is also determined by function 956 (in the alternative, one or both functions 955-956 could be determined in processor 939 of RP 931, with appropriate handoff information retrieved via RPCU 950). If, for this frequency, the channel assignment quality factor is above a predetermined quality threshold, a determination is outputted and controller 954 assigns this frequency for use by RP 931. This process is repeated for all the RPs 932-937. One skilled in the art will appreciate that



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any number of RPs may be coupled to RPCU 950, and that information from RPs that are only indirectly coupled to RPCU 950 (e.g., RPs connected to a different RPCU, which in turn is coupled to RPCU 950) may also be used in  
5 determining and assigning a frequency in accordance with the invention.

Thus, it will be apparent to one skilled in the art that there has been provided in accordance with the invention, a method and apparatus for assigning a  
10 frequency to a base station of a wireless communication system that fully satisfies the objectives, aims, and advantages set forth above.

While the invention has been described in conjunction with specific embodiments thereof, it is  
15 evident that many alterations, modifications, and variations will be apparent to those skilled in the art in light of the foregoing description. Accordingly, the invention is intended to embrace all such alterations, modifications, and variations within the spirit and  
20 scope of the appended claims.

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## Claims

We claim:

- 5     1.     A method of assigning a frequency to a first communication unit of plural communication units comprising the steps of:
- 10        (a)   scanning a set of frequencies and determining a signal quality measure of each one of the set of frequencies;
- 15        (b)   for a first frequency having the greatest signal quality measure, determining a channel assignment quality factor and comparing the channel assignment quality factor with a predetermined quality threshold; and
- 20        (c)   assigning the first frequency if the channel assignment quality factor is greater than the predetermined quality threshold.
- 25     2.     The method of claim 1, wherein in step (b) the channel assignment quality factor is determined by the inverse of the sum of P and at least one from the group consisting of  $(A * N(n))$  and  $(S * M(n))$ , wherein:
- 30        (i)    $N(n)$  is determined from a measure of the plural communication units having (a) greater than a first predetermined threshold of handoffs with the first communication unit within a first time interval and (b) an adjacent channel frequency as that of the first frequency;
- 35        (ii)  $M(n)$  is determined from a measure of the plural communication units having (a) greater than a second

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predetermined threshold of handoffs with the first communication unit within a second time interval and (b) a same channel frequency as that of the first frequency;

- (iii) A is a first weighting factor and S is a  
5 second weighting factor; and  
(iv) P is a measure of power of the first frequency,  
wherein the measure of power is the signal quality  
measure.

- 10 3. The method of claim 2, further comprising prior to  
step (b) storing a record of each handoff among the  
plural communication units, each record including an  
identification of each one of the plural communication  
units involved and a time of handoff.

- 15 4. The method of claim 1, wherein in step (b) the step  
of determining the channel assignment quality factor  
comprises determining which ones of the plural  
communication units is transmitting on the first  
20 frequency, and determining from a record of prior  
communication events which of said ones of the plural  
communication units have above a predetermined threshold  
number of said prior communication events.

- 25 5. The method of claim 4, wherein the step of  
determining from a record comprises determining from a  
record of prior handoffs which of said ones of the  
plural communication units have above a predetermined  
threshold number of handoffs with the first  
30 communication unit within a first period of time.

6. The method of claim 1, wherein in step (b) the step  
of determining the channel assignment quality factor  
comprises determining which ones of the plural  
35 communication units is transmitting on a frequency

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adjacent to the first frequency, and determining from a record of prior communication events which of said ones of the plural communication units have above a predetermined threshold number of said prior  
5 communication events.

7. The method of claim 6, wherein the step of determining from a record comprises determining from a record of prior handoffs which of said ones of the  
10 plural communication units have above a predetermined threshold number of handoffs with the first communication unit within a first period of time.

8. A communications system, including plural  
15 communication units, operable for assigning a frequency to a first communication unit of the plural communication units, comprising:

(a) means for scanning a set of frequencies and  
20 determining a signal quality measure of each one of the set of frequencies;

(b) means for determining, for a first frequency having the greatest signal quality measure, a channel  
25 assignment quality factor and for comparing the channel assignment quality factor with a first predetermined quality threshold; and

(c) means for assigning the first frequency if the  
30 channel assignment quality factor is greater than the predetermined quality threshold.

9. The system of claim 8, wherein the means for determining is further operable for determining the  
35 channel assignment quality factor from the inverse of

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the sum of  $P$  and at least one from the group consisting of  $A * N(n)$  and  $S * M(n)$ ,

wherein:

- 5 (i)  $N(n)$  is determined from a measure of the plural communication units having (a) greater than a first predetermined threshold of handoffs with the first communication unit within a first time interval and (b) an adjacent channel frequency as that of the first frequency;
- 10 (ii)  $M(n)$  is determined from a measure of the plural communication units having (a) greater than a second predetermined threshold of handoffs with the first communication unit within a second time interval and (b) a same channel frequency as that of the first frequency;
- 15 (iii)  $A$  is a first weighting factor and  $S$  is a second weighting factor; and
- (iv)  $P$  is a measure of power of the first frequency, wherein the measure of power is the signal quality measure.

20

10. A communications system, including plural base stations, operable for assigning a frequency to each base station of the plural base stations, comprising:

- 25 (a) a first base station of the plural base stations comprising a receiver operable for scanning a set of frequencies and determining a signal quality measure of each one of the set of frequencies, and outputting the determined signal quality measures; and

30

(b) a base station controller unit coupled to the plural base stations comprising:

- 35 (i) a memory operable for storing a record of each handoff among the plural base stations, each record

-20-

including an identification of each one of the plural base stations involved and a time of handoff;

- 5 (ii) a processor coupled to the memory, operable for receiving the determined signal quality measures and determining, for a first frequency having the greatest signal quality measure, a channel assignment quality factor and for comparing the channel assignment quality factor with a predetermined quality threshold; and
- 10 (iii) a controller, coupled to the processor, for assigning the first frequency for use by the first base station if the channel assignment quality factor is greater than the predetermined quality threshold.
- 15

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## AMENDED CLAIMS

[received by the International Bureau on 4 June 1996 (04.06.96);  
original claims 1, 2, 4, 6 and 8-10 amended;  
remaining claims unchanged (5 pages)]

5 1. A method of assigning a channel to a first  
communication unit of plural communication units  
comprising the steps of:

(a) scanning a set of channels and determining a  
signal quality measure of each channel of the set of  
10 channels;

(b) determining a channel assignment quality  
factor for each channel of the set of channels based, at  
least in part, upon the signal quality measure and a  
handoff measure for that channel; and

15 (c) assigning a channel of the set of channels  
having the best channel assignment quality factor as a  
channel of the first communication unit.

2. The method of claim 1, wherein in step (b) the  
20 channel assignment quality factor is determined by the  
inverse of the sum of  $P$  and at least one from the group  
consisting of  $(A * N(n))$  and  $(S * M(n))$ ,  
wherein:

(i)  $N(n)$  is determined from a measure of the  
25 plural communication units having (a) greater than a  
first predetermined threshold of handoffs with the first  
communication unit within a first time interval and (b)  
an adjacent channel of the channel scanned;

(ii)  $M(n)$  is determined from a measure of the plural communication units having (a) greater than a second predetermined threshold of handoffs with the first communication unit within a second time interval  
5 and (b) a same channel as the channel scanned;

(iii)  $A$  is a first weighting factor and  $S$  is a second weighting factor; and

(iv)  $P$  is a measure of power of the channel scanned, wherein the measure of power is the signal  
10 quality measure.

3. The method of claim 2, further comprising prior to step (b) storing a record of each handoff among the plural communication units, each record including an  
15 identification of each one of the plural communication units involved and a time of handoff.

4. The method of claim 1, wherein in step (b) the step of determining the channel assignment quality factor  
20 comprises determining which ones of the plural communication units is transmitting on the channel scanned, and determining from a record of prior communication events which of said ones of the plural communication units have above a predetermined threshold  
25 number of said prior communication events.

5. The method of claim 4, wherein the step of determining from a record comprises determining from a record of prior handoffs which of said ones of the  
30 plural communication units have above a predetermined threshold number of handoffs with the first communication unit within a first period of time.



6. The method of claim 1, wherein in step (b) the step of determining the channel assignment quality factor comprises determining which ones of the plural communication units is transmitting on a channel adjacent to the channel scanned, and determining from a record of prior communication events which of said ones of the plural communication units have above a predetermined threshold number of said prior communication events.
7. The method of claim 6, wherein the step of determining from a record comprises determining from a record of prior handoffs which of said ones of the plural communication units have above a predetermined threshold number of handoffs with the first communication unit within a first period of time.
8. A communications system, including plural communication units, operable for assigning a channel to a first communication unit of the plural communication units, comprising:
- (a) means for scanning a set of channels and determining a signal quality measure of each channel of the set of channels;
  - (b) means for determining a channel assignment quality factor for each channel of the set of channels, the channel assignment quality factor being based, at least in part, upon the signal quality measure and a handoff measure for that channel; and
  - (c) means for assigning a channel of the set of channels as a channel of the first communication unit based upon the channel assignment quality factor.

AMENDED SHEET (ARTICLE 19)

9. The system of claim 8, wherein the means for determining is further operable for determining the channel assignment quality factor from the inverse of the sum of  $P$  and at least one from the group consisting  
5 of  $A * N(n)$  and  $S * M(n)$ ,  
wherein:

(i)  $N(n)$  is determined from a measure of the plural communication units having (a) greater than a first predetermined threshold of handoffs with the first  
10 communication unit within a first time interval and (b) an adjacent channel of the channel scanned;

(ii)  $M(n)$  is determined from a measure of the plural communication units having (a) greater than a second predetermined threshold of handoffs with the  
15 first communication unit within a second time interval and (b) a same channel as the channel scanned;

(iii)  $A$  is a first weighting factor and  $S$  is a second weighting factor; and

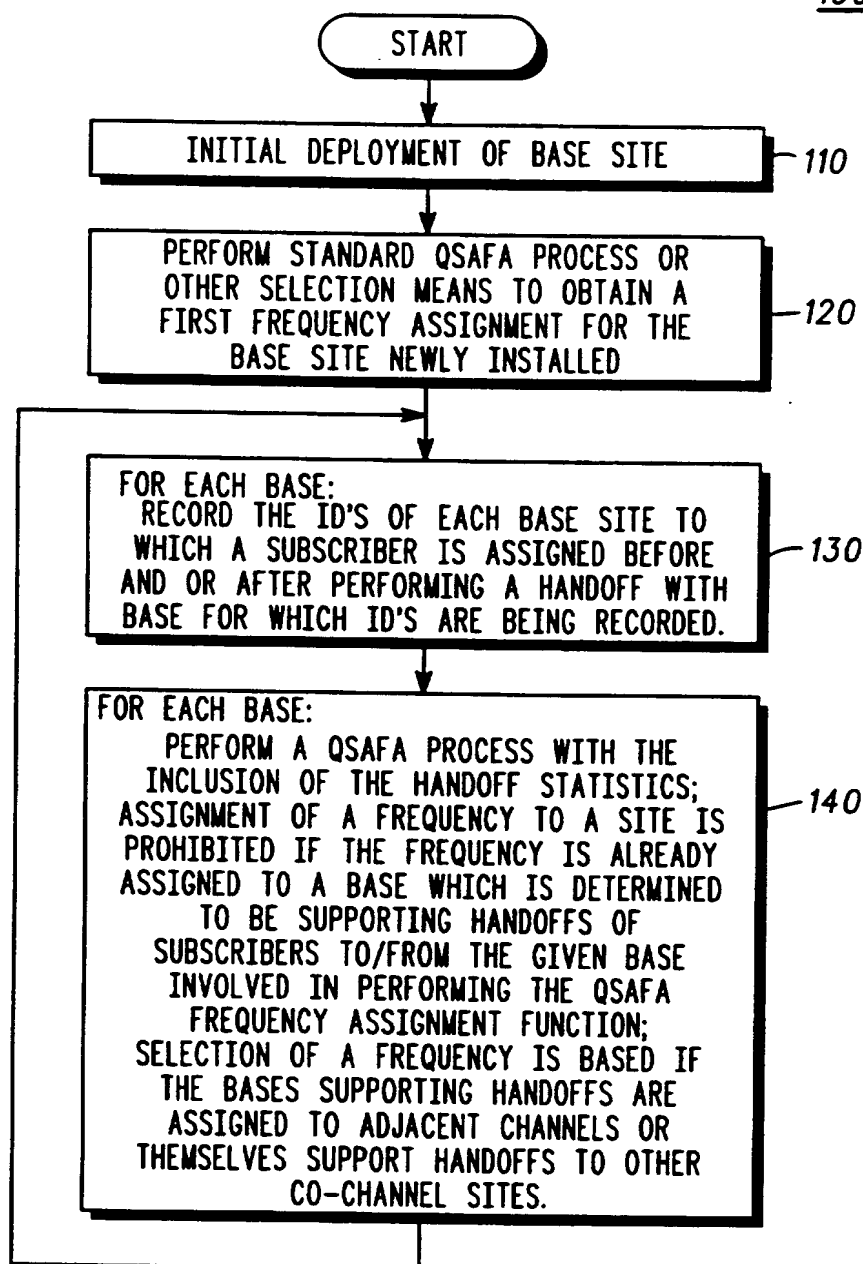
(iv)  $P$  is a measure of power of the channel  
20 scanned, wherein the measure of power is the signal quality measure.

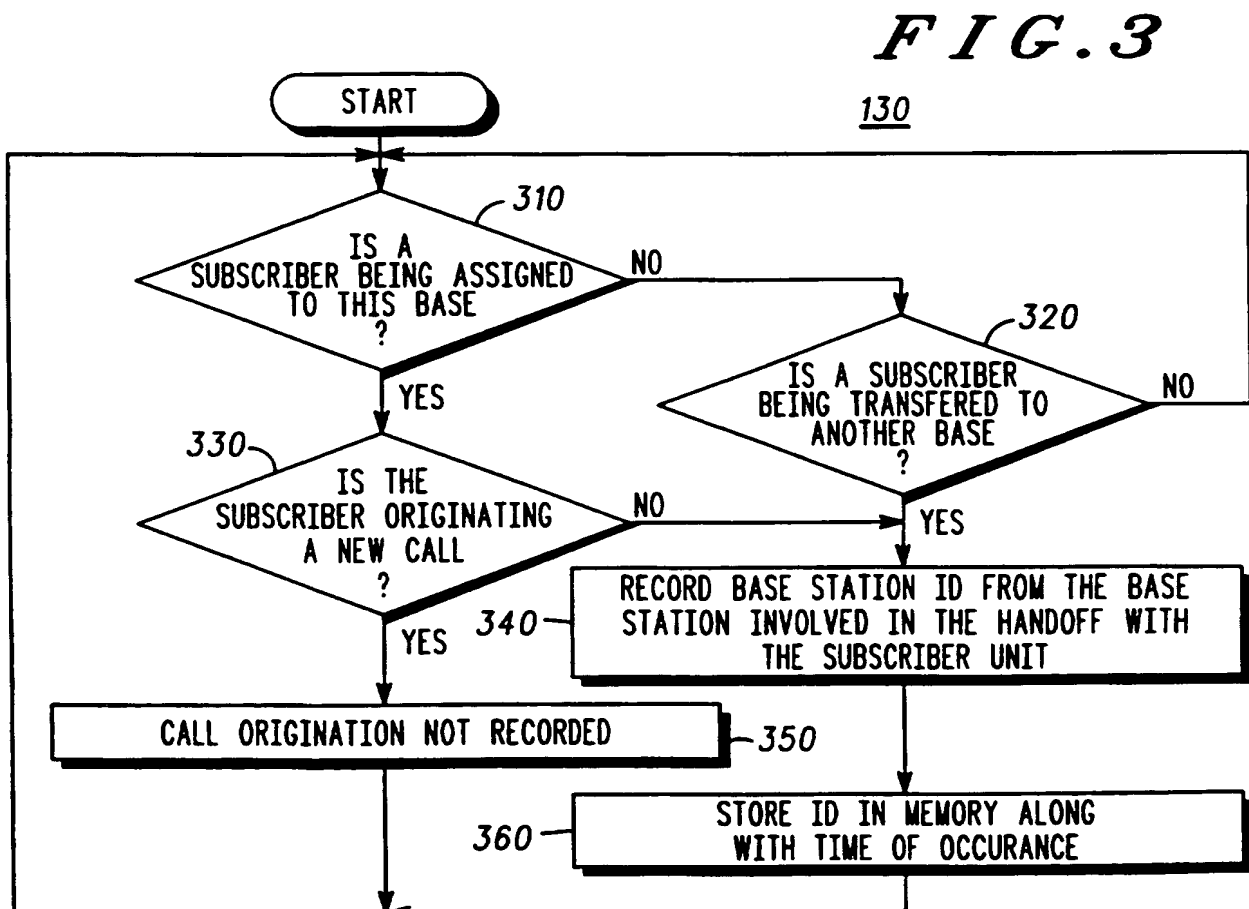
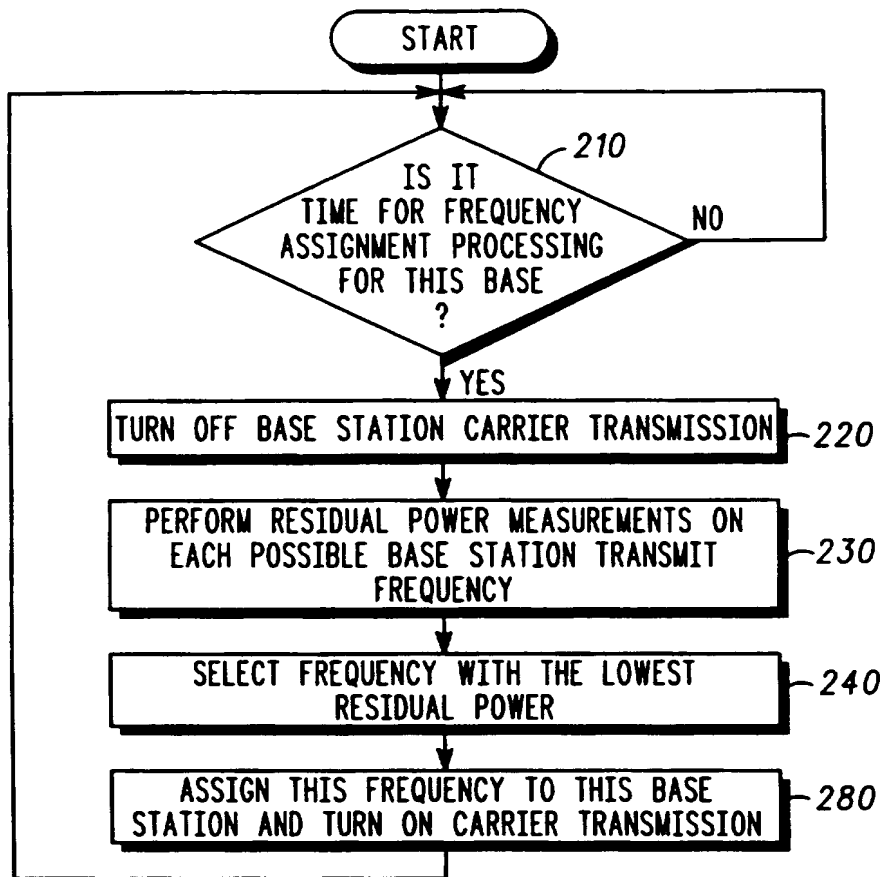
10. A communications system, including plural base stations, operable for assigning a channel to each base station of the plural base stations, comprising:

- (a) a first base station of the plural base stations comprising a receiver operable for scanning a set of channels and determining a signal quality measure of each one of the set of channels, and outputting the determined signal quality measures; and
- (b) a base station controller unit coupled to the plural base stations comprising:
  - (i) a memory operable for storing a record of each handoff among the plural base stations, each record including an identification of each one of the plural base stations involved and a time of handoff;
  - (ii) a processor coupled to the memory, operable for receiving the determined signal quality measures and determining, for a first channel having the greatest signal quality measure, a channel assignment quality factor and for comparing the channel assignment quality factor with a predetermined quality threshold; and
  - (iii) a controller, coupled to the processor, for assigning the first channel for use by the first base station if the channel assignment quality factor is greater than the predetermined quality threshold.

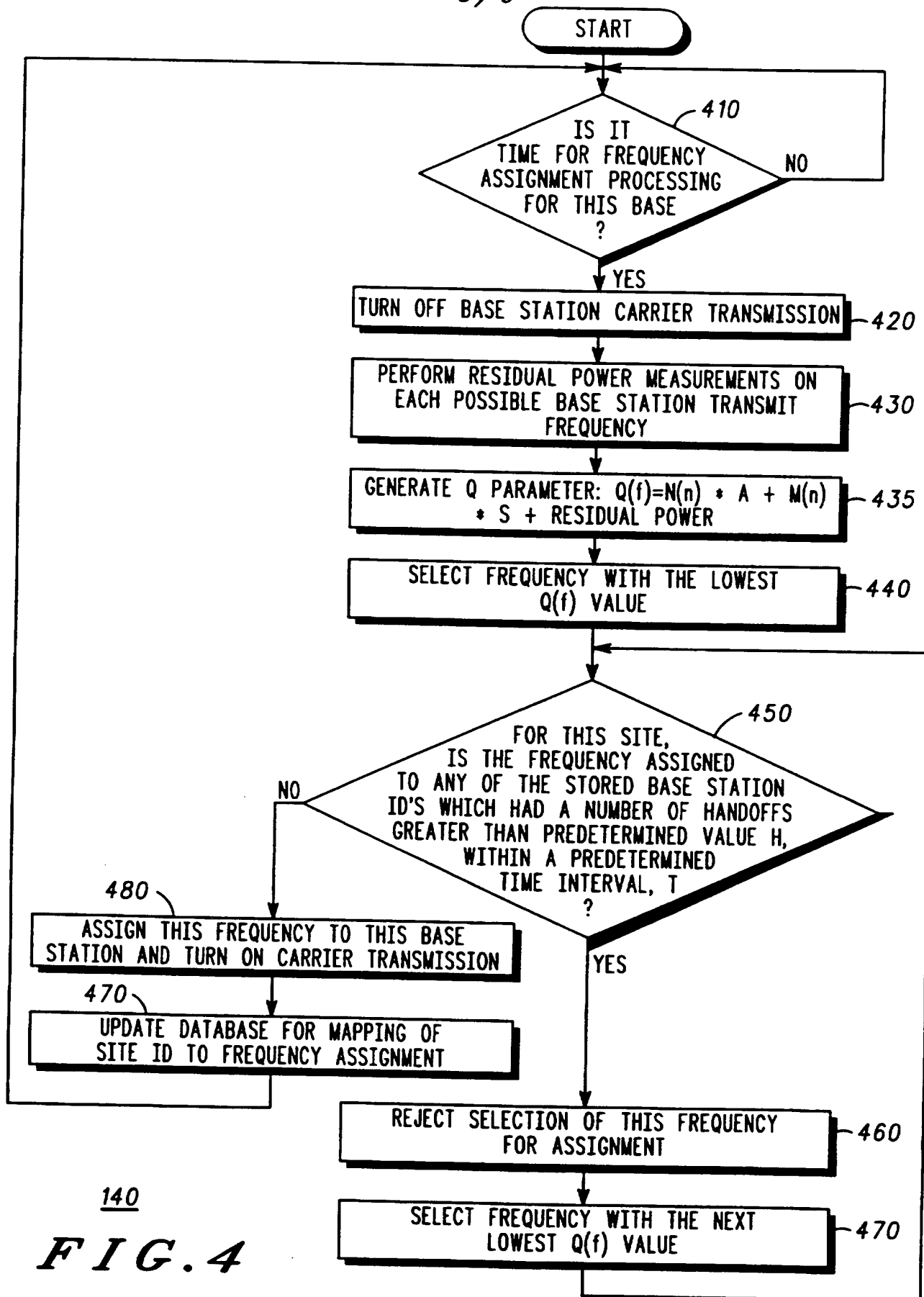
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*FIG. 1*100



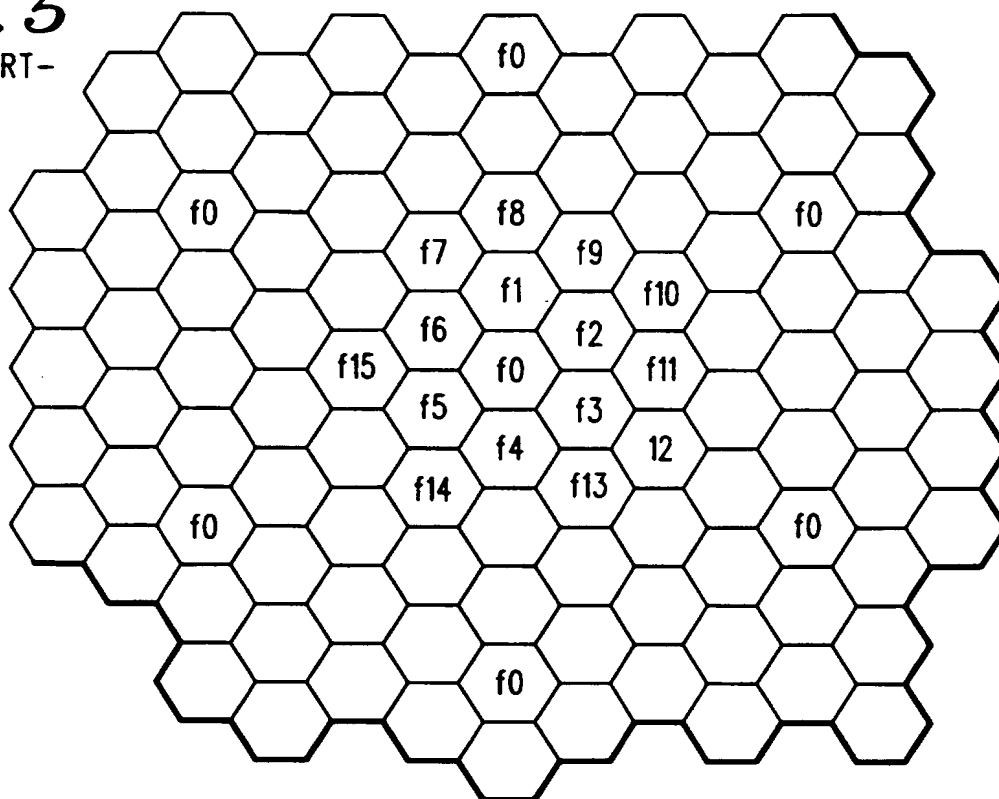
3/6



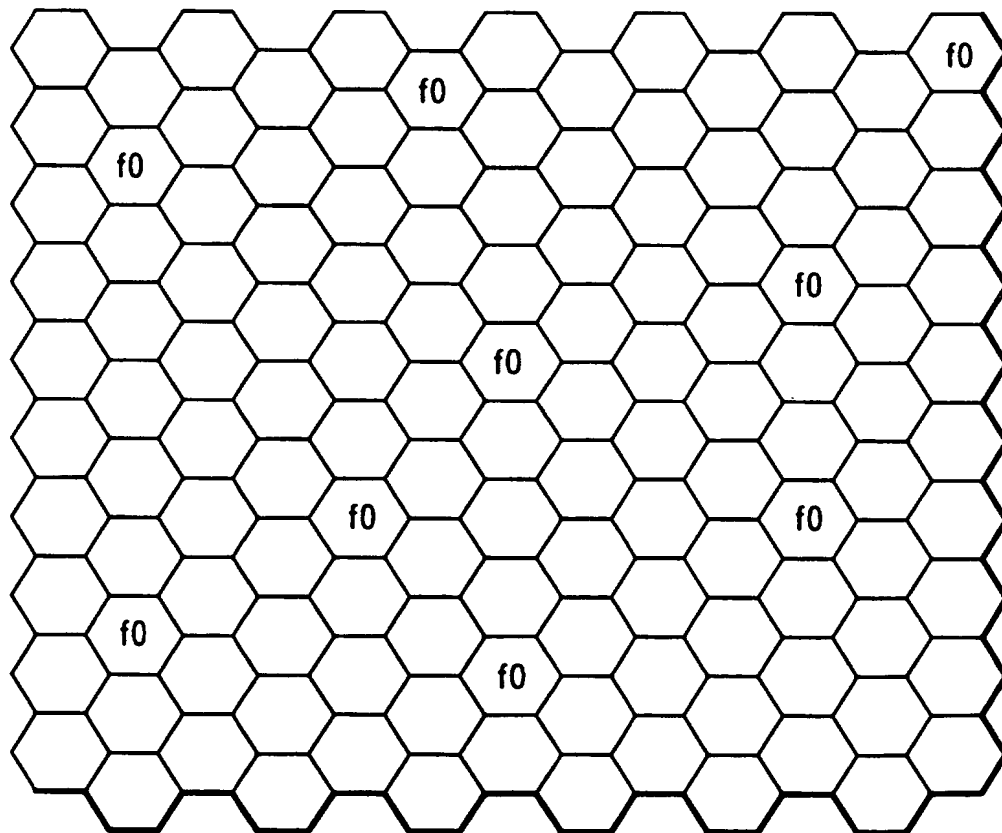
4/6

**FIG. 5**

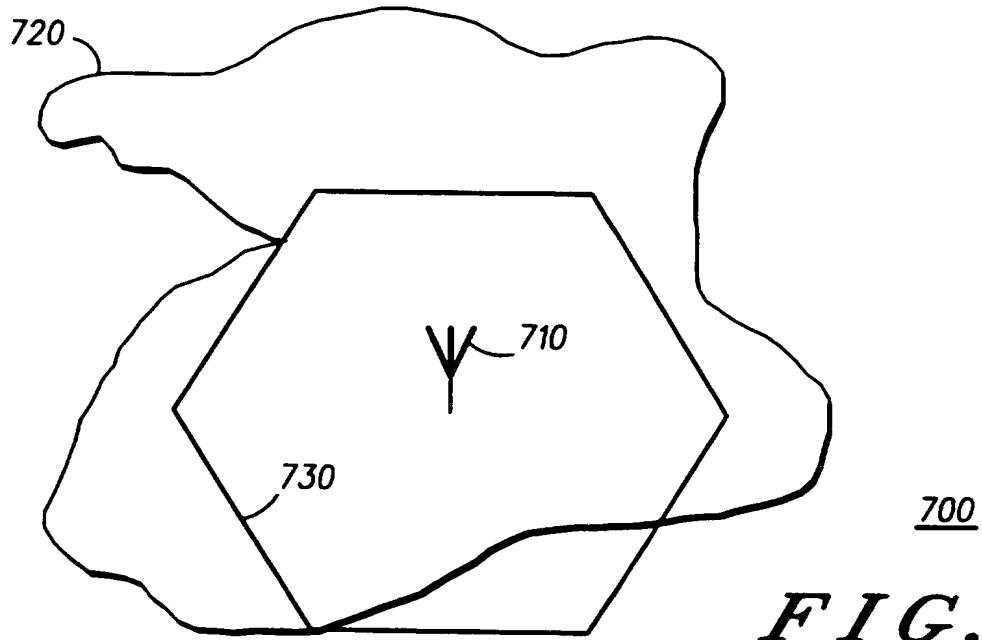
-PRIOR ART-

500**FIG. 6**

-PRIOR ART-

600

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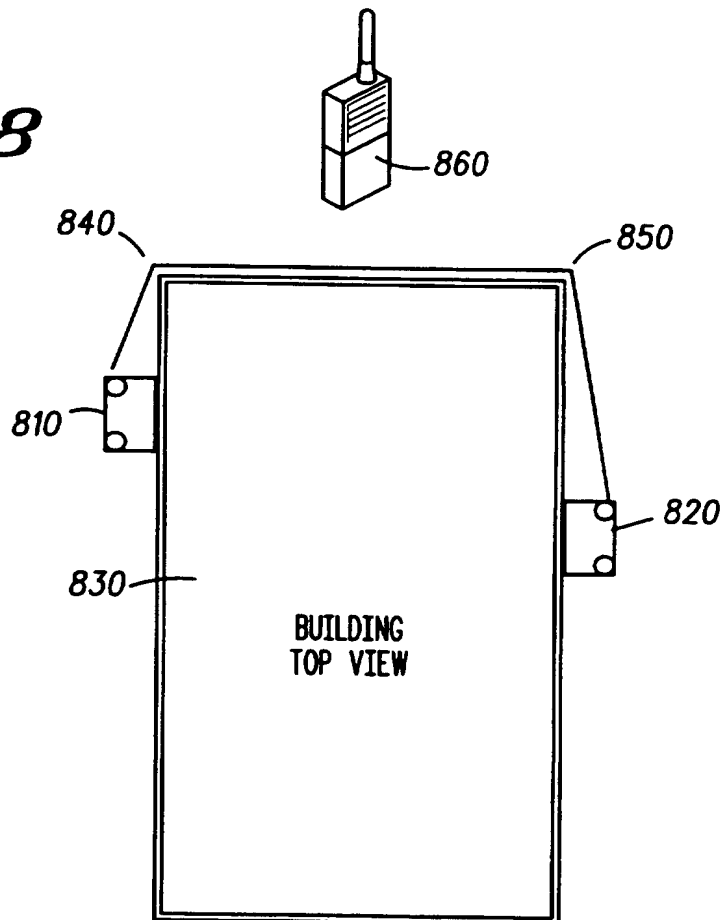
**FIG. 7**

-PRIOR ART-

**FIG. 8**

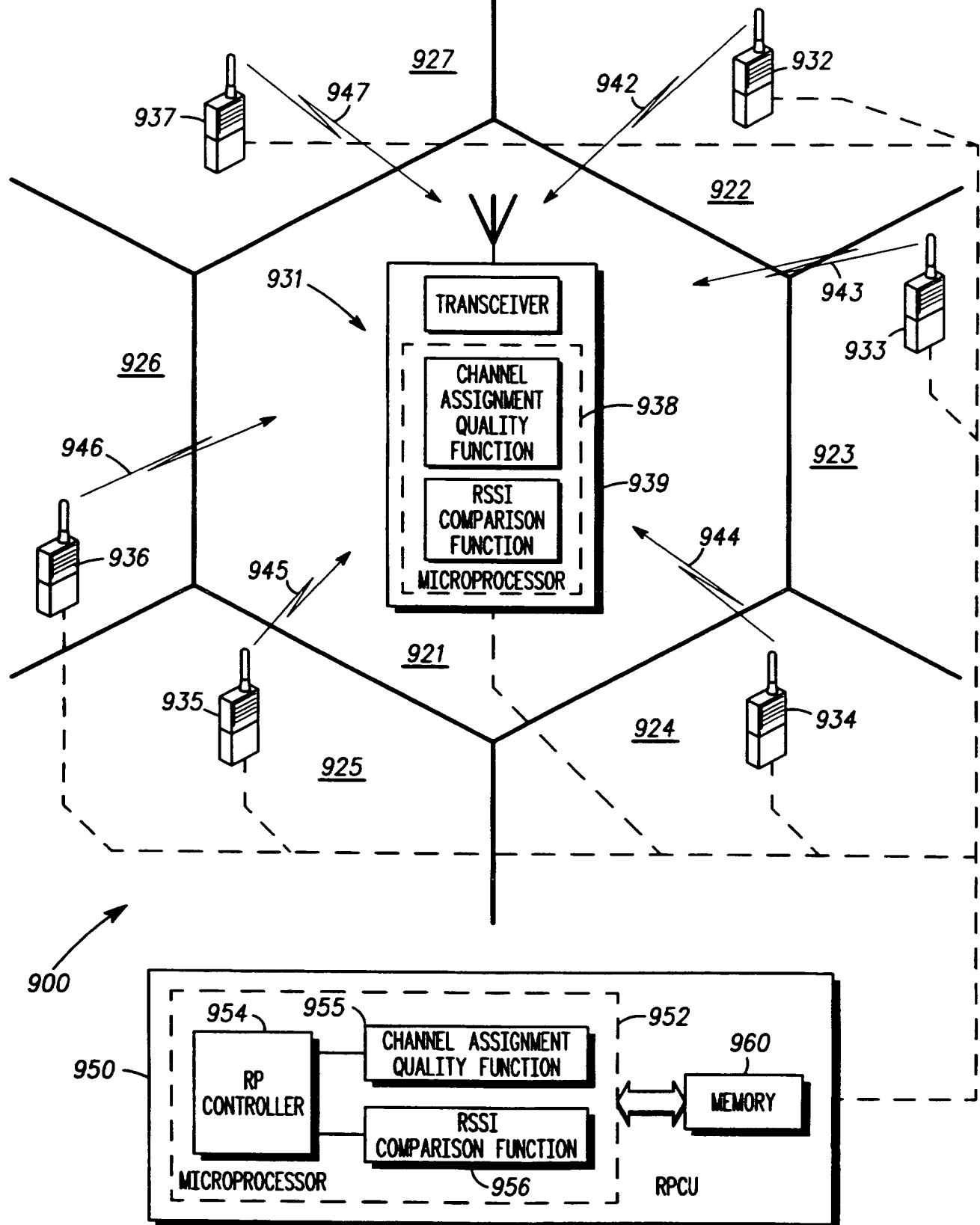
-PRIOR ART-

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**FIG. 9**

# INTERNATIONAL SEARCH REPORT

Int. application No.  
PCT/US95/16896

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : H04Q 7/00

US CL : 455/33.1, 34.1; 379/59

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 455/33.1, 33.2, 33.4, 34.1, 53.1, 54.1, 54.2, 56.1, 62, 63; 379/59, 60

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 3,764,915 (COX ET AL) 09 October 1973, see figures 2-5, see column 2, lines 20-66, see column 17, lines 26-63.	1, 4, 6, 8 and 10
X, P	US, A, 5,448,750 (ERIKSSON ET AL) 05 September 1995, see figures 1-3, see column 5, line 55 to column 6, line 58.	1, 8 and 10

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

•	Special categories of cited documents:	•T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
•A	document defining the general state of the art which is not considered to be part of particular relevance		
•E	earlier document published on or after the international filing date	•X	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
•L	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	•Y	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
•O	document referring to an oral disclosure, use, exhibition or other means		
•P	document published prior to the international filing date but later than the priority date claimed	•Z	document member of the same patent family

Date of the actual completion of the international search

04 APRIL 1996

Date of mailing of the international search report

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